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Washington, D.C. 20231-1306 U.S. PTO

CASE NUMBER CH919990038US1

Date: October 27, 2000

Sir:

10/27/00

under Rule 1.53(f)

Transmitted herewith for filing is the Patent Application/of:

Inventors: Kai Cieliebak and Beat Liver

jc917 09/699262
Pro

For: Portfolio Theory Method of Managing Operational Risk with Respect to
Network service-Level Agreements

Enclosed are:

12 (twelve) Sheets of Informal Drawings.

An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.

A certified copy of a _____ application.

Unsigned Declaration and Power of Attorney is attached to the application.

Associate Power of Attorney.

Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

| FOR: | (Col. 1) NO. FILED | (Col. 2) NO. EXTRA |
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| TOTAL CLAIMS | 29 - 20 = | 9 |
| INDEP CLAIMS | 8 - 3 = | 5 |
| <input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED | | |

If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

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Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

By

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/27/00
109/699662 PRO

In re application of: Cieliebak et al.

Docket No.: CH919990038US1

Serial No.: To be assigned

Group No.:

Filed: Herewith

Examiner:

For: PORTFOLIO THEORY METHOD OF MANAGING
OPERATIONAL RISK WITH RESPECT TO NETWORK
SERVICE-LEVEL AGREEMENTS

Commissioner of Patent and Trademarks
Washington, DC 20231

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I hereby certify that attached paper or fee:

Patent Application Transmittal Fee Sheet under Rule 1.53(f) -Original and copy
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